Introduction to the I+PSE Conceptual Framework for Action

Module 1d

A Short Course in Systems Approaches to Healthy Eating & Active Living

Using the I+PSE Conceptual Framework for Action

Angie Tagtow, MS, RD, LD







At the end of this module, you will be able to:

- Differentiate between policies and environmental change strategies.
- Identify the potential synergistic effect of combined strategy implementation
- Describe the three phases of the I+PSE Conceptual Framework for Action

Recommended Readings

- Tagtow A, Herman D, Cunningham-Sabo L. Next-Generation Solutions to Address Adaptive Challenges in Dietetics Practice: The I+PSE Conceptual Framework for Action. *J Acad Nutr Diet*. 2022:122(1):15-24. <u>https://doi.org/10.1016/j.jand.2021.01.018</u>.
- Reis-Reilly H, Fuller-Sankofa N, Tibbs C. Breastfeeding in the community: Addressing disparities through policy, systems, and environmental changes interventions. *J Human Lactation*. 2018;34(2):262-271.





A Short Course in

What are *Individual* plus PSE (I+PSE) Approaches?

Individual	Direct Services - Evidence-based interventions directed to individuals and families that support increased knowledge and positive behavior change Professional Development - Practitioners identify topics to enhance their knowledge, skills and practices	
Policy	Organizational & Community Policy – Changes to or the creation of procedures or organizational practices and the formation of interdisciplinary partnerships and collaborations Public Policy - Changes to or creation of laws, ordinances, resolutions, mandates, regulations or rules	
Systems	Infrastructure & Operations - Changes to infrastructure that impacts all elements of an organization, institution, or framework *Result of individual, policy PLUS environmental changes	
Environments	 Built - Modifications to physical spaces and settings in organizations, institutions, or public areas Natural – Changes to ecological resources, landscapes and ecosystems that impact soil, water, air, energy, climate, and biodiversity Social – Addresses societal dynamics, historical relationships, and cultural practices and their influence on power, equity, diversity, and inclusion 	



What Does the Evidence Say about PSE?

"Implementing PSE changes provides an opportunity to create sustainable organizational and community shifts and to enables long-term improvements in population health. The PSE change approach seeks to address upstream structural or systemic barriers that lead to poor health outcomes and inequities...help deconstruct barriers and build environments where the healthy choice (e.g., breastfeeding) can be the easy default option. The PSE change approach focuses on systemic solutions to community issues rather than individual behavior. It is an upstream implementation approach, which are often proactive and sustainable beyond the funding period."

Reis-Reilly H, Fuller-Sankofa N, Tibbs C. Breastfeeding in the community: Addressing disparities through policy, systems, and environmental changes interventions. *J Human Lactation*. 2018;34(2):262-271.

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What Does the Evidence Say about PSE?

- "Environmental and policy interventions may be among the most effective strategies for creating population-wide improvements in eating." (Story et al 2008)
- "Infants in Special Supplemental Nutrition Program for Women, Infants, and Children clinics wherein PSE strategies were implemented had 64% lower likelihood of exhibiting rapid weight gain compared with infants in control clinics. Prevalence of exclusive and any breastfeeding was similar for mothers in the PSE and control clinics, suggesting the PSE strategies did not negatively affect breastfeeding support." (Ventura et al 2021)

Story M, Kaphingst KM, Robinson-O'Brien R, Glanz K. Creating healthy food and eating environments: Policy and environmental approaches. *Annu Rev Public Health*. 2008;29:253-272. Ventura A, Silva Garcia K, Meza M, et al. Promoting responsive bottle-feeding within WIC: Evaluation of a policy, systems, and environmental change approach. *J Acad Nutr Diet*. 2021;1-11 (in press).



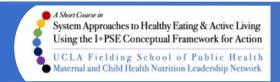
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PSE Change Approaches Compared to Program Implementation

PSE Change Approaches	Program Implementation
Adaptive, multiple approaches	Technical, singular approaches
Upstream solutions (proactive)	Downstream solution (reactive)
Focused on positive community/population level impact	Focused on setting and meeting individual goals and impact
Foundational – produces long-term behavior change over time	Additive – results in short-term behavior change
Can be sustained beyond funding	Often non-sustaining

Combining "I" and "PSE" can result in greater and more sustained impact





What is the I+PSE Conceptual Framework for Action?

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Inspiration Behind "I+PSE"



FIGURE 3-1. A Social-Ecological Model for Food and Physical Activity Decisions (page 65), 2015-2020 Dietary Guidelines for Americans.

OUTCOMES

Data Source: Adapted from: (1) Centers for Disease Control and Prevention. Division of Nutrition, Physical Activity, and Obesity. National Center for Chronic Disease Prevention and Health Promotion. Addressing Obesity Disparities: Social Ecological Model. Available at:

http://www.cdc.gov/obesity/health_equity/addressingtheissue.html. Accessed October 19, 2015. (2) Institute of Medicine. Preventing Childhood Obesity: Health in the Balance, Washington (DC): The National Academies Press; 2005, page 85. (3) Story M, Kaphingst KM, Robinson-O'Brien R, Glanz K. Creating healthy food and eating environments: Policy and environmental approaches. *Annu Rev Public Health* 2008; 29:253-272.

Influencing Policy & Legislation

Changing Organizational Practices

Fostering Coalitions & Networks

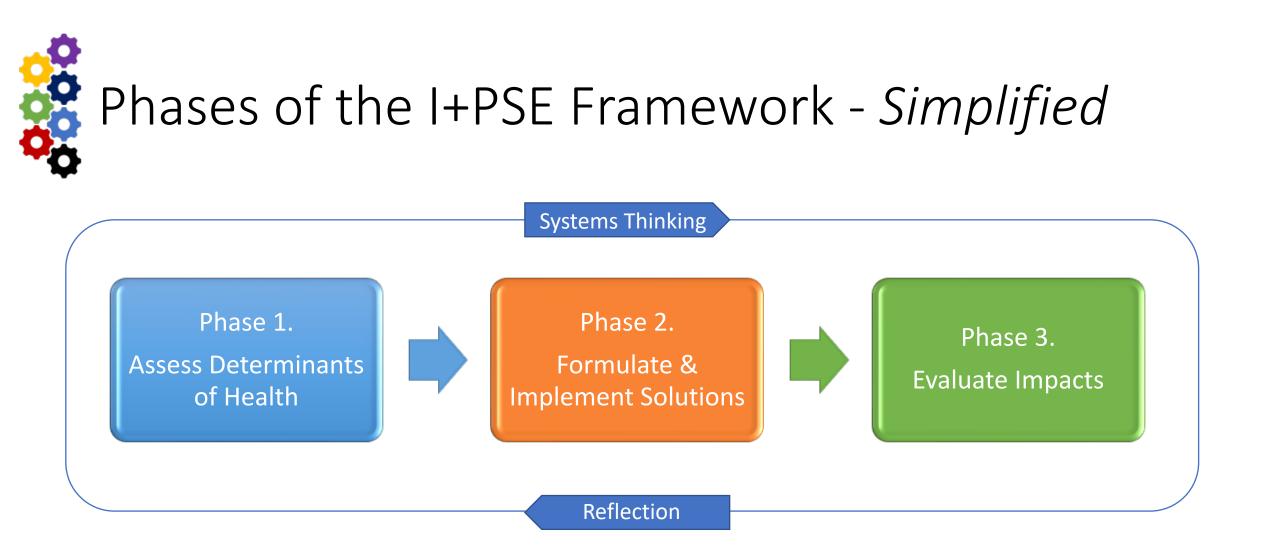
Educating Providers

Promoting Community Education

Strengthening Individual Knowledge & Skills

Spectrum of Prevention model adapted from Cohen L, Swift S. The Spectrum of Prevention: Developing a comprehensive approach to injury prevention. *Inj Prev*. 1999;5(3):203-207.





Over simplified and not linear!

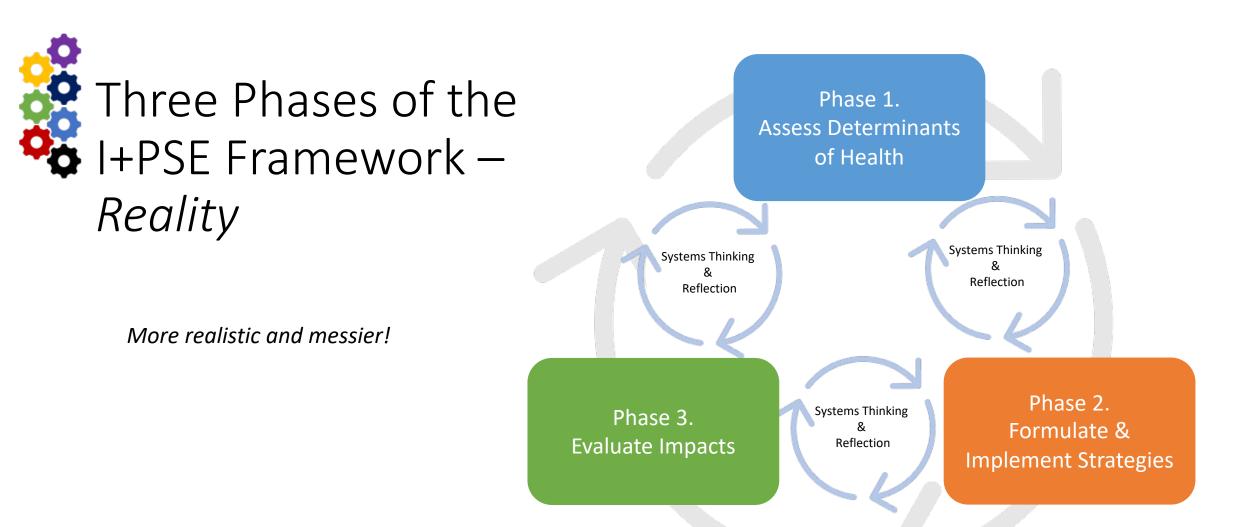
Adapted from Tagtow A, Herman D, Cunningham-Sabo L. Next-Generation Solutions to Address Adaptive Challenges in Dietetics Practice: The I+PSE Conceptual Framework for Action. *J Acad Nutr Diet*. 2022:122(1):15-24. <u>https://doi.org/10.1016/j.jand.2021.01.018</u>.

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What do we know about the adaptive challenge in our community?

How do we apply systems thinking to identify the root cause of the adaptive challenge in our community?

Phase 1. Assess Determinants of Health

Phase 1. Gather Information Using a Determinants of Health Lens

Social

Economic Stability Education System Environments Ecosystems Equity Food System Health Care System Public Health Capacity Social and Cultural Capital

Commercial

Advocacy and Lobbying Marketing and Pricing Globalized Supply Chains Corporate 'Citizenship'

Political

Policy Processes Power Constellations Interests Ideologies

Critical Inquiry > Diverse Perspectives

(Example: Food Waste)

- What percentage of the community does not have a working refrigerator to store food?
- Are there gleaning projects that link food producers to emergency food assistance programs?
- What percentage of waste in landfills is food?
- Where is the landfill located and what are the demographics of that area?
- How much food is disposed from grocery stores? Restaurants? Food manufacturers?
- Who are the commercial waste haulers? Is there competition among the haulers?
- Are composting services offered to residential or commercial sites?
 - What does my organization do with leftover or expired food?
- What ordinances or laws are in place related to the disposal of food waste?
- Have policymakers proposed regulations or laws addressing food waste? What was the result?
- Who are the allies or adversaries on food waste regulation? Who else should be engaged?
- What are the facilitators or barriers to passing food waste regulation?

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Phase 2. Formulate and Implement Solutions

Based on the information gathered about the adaptive challenge, what are the levers for change?

How does the adaptive challenge define or frame each of the seven action components?

"Where do our current solutions fit, where are the gaps, and what innovative solutions should be implemented?



Define & Innovate

(Example: Food Waste)

Enhance personal, individual, or household's decision-making and capability of reducing food waste

Connect with diverse groups of people with information and resources to promote the reduction of food waste

Inform and educate intermediaries and service providers who transmit information about reducing food waste to others

Foster relationships and cultivate multisector collaborations with stakeholders about individual and community approaches to reduce food waste

Revise or adapt policies, procedures, and practices within institutions that shape norms and support reducing food waste

Design, foster, and maintain physical (built), natural (ecosystems), and social settings within institutions and public environments that reduce food waste

Develop strategies to inform change to laws, regulations, and public policies that reduce food waste

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Phase 3. Evaluate Impacts

What are the results?

What do they mean?

What will we do?

Phase 3. Evaluate Impacts	Measures of Success >
Individual Readiness Behavior Change	 Outputs, Outcomes, Impacts What changes were the result of What is the evidence and what What quantitative data is availab What qualitative data is availab What were the downstream (i.e., of What were the upstream (i.e., of What behaviors changed? How What practices changed? How How did leadership capacity changed How were partnerships strength How did the culture of the organistic response of th
Practice Leadership Capacity Agility Relevance	
Program Collective Action Sustained Impact Synergy	
Organization Adaptive Culture Strategic Management & Partnerships	
Policy Upstream Application Downstream Impact	
Population Health, Equity, Justice Community Resilience Systemic Transformation	

res of Success > Collective Impact

 Outputs, Outcomes, Impacts What changes were the result of action? What is the evidence and what is the source? What quantitative data is available? What qualitative data is available What were the downstream (i.e., programmatic) outcomes? What were the upstream (i.e., organization, policy) outcomes? What behaviors changed? How? What practices changed? How How did leadership capacity change? How were partnerships strengthened? How did the culture of the organization change?
 Systematic Reflection What do the outcomes mean? How do the outcomes meet expectations, objectives, or goals? What is the significance of the outcomes? What are the interpretation or perceptions of the outcomes? Who values the outcomes? Why? What will you do with the outcomes? What will you do differently? What are the lessons learned?

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I+PSE CONCEPTUAL FRAMEWORK FOR ACTION

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Phase 1. Assess Determinants of Health

Social Economic Stability Education System Environments Ecosystems Equity Food System Health Care System Public Health Capacity Social and Cultural Capital

Commercial Advocacy and Lobbying

Marketing and Pricing Globalized Supply Chains Corporate 'Citizenship'

Political Policy Processes Power Constellations Interests Ideologies Phase 2. Formulate & Implement Solutions

> Strengthen Individual Knowledge & Skills

Promote Community Engagement & Education

Activate Intermediaries & Service Providers

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Facilitate Partnerships & Multisector Collaborations

> Align Organizational Policies & Practices

Foster Physical, Natural, & Social Settings

Advance Public Policies & Legislation Phase 3. Evaluate Impacts

Individual Readiness Behavior Change

Practice Leadership Capacity Agility Relevance

Program Collective Action Sustained Impact Synergy

Organization Adaptive Culture Strategic Management & Partnerships

Policy Upstream Application Downstream Impact

Population Health, Equity, Justice Community Resilience Systemic Transformation

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Political Policy Processes Power Constellations Interests Ideologies

Systems Thinking

Phase 2. Formulate & Implement Solutions

> Strengthen Individual Knowledge & Skills

Promote Community Engagement & Education

Activate Intermediaries & Service Providers

Facilitate Partnerships & Multisector Collaborations

> Align Organizational Policies & Practices

,<u>)</u> Foster Physical, Natural, & Social Settings

Advance Public Policies & Legislation

Reflection

Phase 3. Evaluate Impacts

Individual Readiness Behavior Change

Practice Leadership Capacity Agility Relevance

Program Collective Action Sustained Impact Synergy

Organization Adaptive Culture Strategic Management & Partnerships

Policy Upstream Application Downstream Impact

Population Health, Equity, Justice Community Resilience Systemic Transformation

Figure 1. Individual Plus Policy System and Environmental (I+PSE) Conceptual Framework for Action

Considerations in Applying the I+PSE Conceptual Framework

- Versatile and adaptable
 - Be integrated into existing efforts
 - Be operationalized at the individual, organizational, and community levels
- Drive multi-level action
- Build practitioner and organizational capacity
 - Efficiency and quality improvement
- Strengthen leadership skills
- Cultivate beneficial relationships
 - Reciprocal support
 - Multisectoral and interdisciplinary
- Achieve broader community and population benefits
- Result in collective and sustained impact



Leadership Element – Opportunities for PSE Professional Development

Western Maternal and Child Health Nutrition Partners – Amplifying Nutrition Impact Using I+PSE Approaches

 This short course offers capacity building tools to drive Title V MCH transformation by exploring how the I+PSE (Individual plus PSE) Conceptual Framework for Action can support innovative strategies that advance Title V MCH nutrition services, specifically by building and leveraging multi-sectoral partnerships. MCH teams can apply these tools to further advance Title V MCH capacity, practice-based evidence, and community/population impact. https://mchnutritionpartners.ucla.edu/angie-tagtow-amplifyingmch-nutrition-impact-using-ipse-approaches-short-course/

University of Minnesota Extension - Systems Approaches to Healthy Communities

The purpose of this online course is to promote the integration of policy, systems, and environmental (PSE) interventions with
educational strategies for organizations whose work focuses on health promotion. The program helps community-based staff
understand and act on the many factors that influence whether individuals can easily make healthy
choices. https://extension.umn.edu/nutrition-education/systems-approaches-healthy-communities

Cornell University PSE Training - Making the Healthy Choice the Easy Choice

This online course is designed for EFNEP and SNAP-Ed professionals working to increase access to healthy food and physical
activity options for low-income individuals and families. This course provides an introduction for those new to PSE approaches and
a more in-depth overview for those with more experience with PSEs. https://fnec.cornell.edu/about-us/professionaldevelopment/pse-training/





Leadership Element



"Courage is like—it's a habitus, a habit, a virtue: You get it by courageous acts. It's like you learn to swim by swimming. You learn courage by couraging."

Mary Daly, American Philosopher and Theologian





Key Take Aways

The three phases of the I+PSE Framework include assess determinants of health, formulate and implement solutions, and evaluate impacts

Implementing multiple strategies that combine individual, policy, system, and environmental changes produces a synergistic effect and drives greater impact than singular approaches

